



# Goole & Airmyn

Internal Drainage Board

**The Courtyard  
Boothferry Road  
Goole  
DN14 6AE**

## **Meeting *Papers***

***Tuesday, 8 February 2022  
3:00pm***



# Shire

Group of IDBs

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## Meeting Papers

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## Purpose

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# 1. Governance

## Recommendations:

- Note the information contained in this report

## 1.1 Apologies for Absence

## 1.2 Declaration of Interest

## 1.3 Minutes of the Meeting held 9 November 2021

Member	13.02.20	10.06.20	20.10.20	7.12.20	10.2.21	22.6.21	9.11.21
Mrs Rosemary J Webster (Chair)	√	√	√	√	√	√	√
Mr John Richard Fawbert	√	√	A	√	√	√	A
Mr Graham W Martinson	-	-	-	-	-	-	-
Mrs Rita Brough	√	√	√	√	√	√	√
Mr Mally Boatman	√	√	√	√	√	√	√
Mr Keith Moore (Vice Chair)	√	√	√	√	√	√	Resigned
Mr Brent Huntington	√	√	√	√	√	A	√
Cllr Liz Sargeantson	-	√	√	-	√	A	-
Cllr Nick Coultish	A	√	-	-	A	√	A
Cllr David Jeffreys	A	√	Unable to access	-	A	A	√
Cllr Barbara Jeffreys	A	√	Unable to access	-	A	Resigned	
Cllr Anne Handley	A	√	-	A	A	A	√

### In attendance on behalf of JBA Consulting, Clerk and Engineer:

Craig Benson (Clerk)  
Roger Smith (Engineer)  
David Blake (Finance Officer)

Clerk  
Eng.  
FO

## GOVERNANCE

**2021.50** Apologies for Absence were received from R. Fawbert and N. Coultish.

**2021.51** Declaration of Interest – None.

**2021.52** The Chair took the opportunity to inform members that K. Moore had tendered his resignation from the Board with immediate effect. It was confirmed that the selection of his replacement will be on the next Board meeting Agenda.

**2021.53** Minutes of Meeting 22 June 2021 – **Minutes considered and proposed as true record by RB, seconded by BH.**

**2021.54** Board had received a complaint with regard to debris being blown from the Hook PS compound on to the surrounding verges. A response has been sent to the EFYC officer who is dealing with the matter. It was confirmed that skip hire would be re-introduced at the pumping stations to collect the weedscreen cleaner debris. It was also agreed that netting would be

attached to the bottom of the compound fencing to minimise the amount of rubbish escaping the site.

## CLERK'S REPORT

- 2021.55** Re-constitution of Board – Clerk advised that he had recently contacted the EA for an update on the progress but had not yet received a response. He would advise members as soon as it was forthcoming.
- 2021.56** Environment Agency – Draft Flood Risk Management Plans – Clerk confirmed that these had now been published for public consultation and encouraged members to view them.
- 2021.57** Finance Bill – Red Diesel Usage – Clerk confirmed that it was now very unlikely, despite the lobbying of individual IDBs and ADA, and the Board would have to ensure that the Contractors are ready for the change. The financial implications will be discussed in more detail at the next Board meeting.
- 2021.58** Policy – The minor changes to the Byelaws were discussed and it was proposed by AH, seconded by DJ and approved by the Board that they be accepted.
- 2021.59** Humber Strategy – It was noted that a review of the Governance structure of each of the working groups was underway to ensure, at all levels, participants agreed on the work needed to embed it in the respective partner organisations and across the partnership.

## FINANCIAL REPORT

- 2021.60** Rating Report – FO confirmed outstanding balance at £80.26, which related to two accounts and that final demands had been sent.
- 2021.61** List of Payments – The supply costs at Downes Ground pumping station were raised as they seemed high in comparison to the other stations. The FO, following a request from members, confirmed that unit rate, which all agreed was very low. He also informed members that the corresponding invoice for the same time last year also showed a similar usage. The Chair requested a detailed breakdown of pump hours run and electricity usage to ensure that all was in order. FO confirmed that this would be presented at the next meeting. With no more queries the list of payments were **proposed for approval by RB and seconded by AH.**
- 2021.62** External Audit – FO confirmed this had been completed and that all relevant legislation and regulatory requirements had been met.
- 2021.63** Internal Audit Review Meeting – FO confirmed this would take place virtually on Monday 22 November. RB confirmed that she would attend on behalf of the Board.
- 2021.64** Budget Comparison – FO took members through the report. The Department of Transport contribution this year was expected to be £3k more than budget. With regard to the expenditure all were on target and that the Board were in a favourable position financially. FO also confirmed that the budget setting meeting would include those costs and options for the Board to discuss concerning red diesel, electricity, and telemetry.

## ENGINEER'S REPORT

- 2021.65** Telemetry – Members noted the new 12 month contract cost as supplied by the provider. Engineer confirmed that the original cost was for a five year period and was fixed with no inflationary increases. The new annual contract will allow the Board to be more flexible when it comes to the future replacement of the system.
- 2021.66** Pumping Stations – Members noted the report on the operation of the pumping stations since the last meeting.
- 2021.67** Hydraulic Modelling – Engineer confirmed that the presentation will take place on 16 November where both the IDB and ERYC models will be discussed. The meeting will be held in person with the option to access it virtually if required.
- 2021.68** Ordinary Watercourses – Members noted the progress of this year's maintenance activities. The Board considered its options with regard to the maintenance contract. The Chair was pleased with the performance of the contractor and wary of problems that might arise with a change. Members agreed and therefore the Chair **proposed to extend the contact for a further 2 years, this was seconded by RB and approved by the Board.**
- 2021.69** Planning – RB noted that a known developer is looking for support of their development within the Board's district. Engineer had not seen any revisions or new applications but would

continue to check the planning lists. Discussions then took place with regard to the merits of the development and the impact to the local community.

**2021.70** Chair thanked the Engineer for his report and asked members for any further comment. BH considered that the 25% increase in cost of the new telemetry contract was high. Engineer reiterated that the original contract price was fixed for five years with no inflationary increase. BH also raised an issue with a ordinary watercourse on the Board's maintenance programme which was not flailed last year. BH had inspected the watercourse and he didn't believe any works had been undertaken. The Engineer confirmed that in his opinion it had but would check with the invoice and maintenance records submitted by the contactor and update the Board accordingly.

**2021.71** It was agreed to send out plans of the district to new members.

## ENVIRONMENTAL REPORT

**2021.72** Information noted.

## HEALTH & SAFETY

**2021.73** Information noted.

**2021.74** Legislation – The Environment Bill no passed will enhance wildlife, tackle air pollution, transform how we manage our resources and waste and improve the resilience of water supplies in a changing climate to ensure the environment is left in a better state than that in which it is currently found.

**2021.75** BAP – Members noted the inspection carried out by the EO in August with respect to monitoring water vole activity. Latrines had been spotted on Towns Drain and Southfield Lane Drain. It is the intention to recommence the inspections early spring before the vegetation starts growing vigorously.

## REPRESENTATION

**2021.76** Information noted.

## ANY OTHER BUSINESS

**2021.77** There was none.

## DATE OF NEXT MEETING

**2021.78** 8 February 2022, 3.00pm at The Courtyard, Goole.

21 June 2022

8 November 2022

## 1.4 Matters arising not discussed elsewhere on Agenda

## 1.5 FOI/Complaints

The Board has received no complaints or FOI requests since the last meeting.

## 1.6 Board Key Performance Indicators

The table presents a reasonable assessment of Board status.

Goole & Airmyn IDB Key Performance Indicators		Inadequate	Work to be done	Adquate	Good	Very good
Management of the Board	Compliance with internal audit requirements					
	Governance					
	Health & safety					
	Partnership working					
	OVERALL					
Reduction of water logging and flood risk to assets	Asset management					
	Flood Risk management					
	Flooding - learning					
	OVERALL					
Environment	Biodiversity Action Plan					
	Partnership working					
	OVERALL					
Financial	Financial statements					
	Drainage rate collection					
	OVERALL					

## 2. Clerks Report

### Recommendation:

- Note information contained in the report

### 2.1 Legislation

#### 2.1.1 Re-constitution of Board

Following the meeting in June, the minute of the Board's decision has been sent to the EA's legal team as requested. A request has been made for an update on the process and hopefully this will be provided before the Board meeting.

#### 2.1.2 Environment Agency – Draft Flood Risk Management Plans

Environment Agency have published their draft Flood Risk Management Plans for public consultation, which is open for three months.

FRMPs are strategic plans that set out how to manage flood risk in nationally identified flood risk areas (FRAs) for the period 2021-2027, and are statutory plans required by the Flood Risk Regulations 2009.

<https://consult.environment-agency.gov.uk/fcrm/draft-second-cycle-flood-risk-management-plans/>

#### 2.1.3 Finance Bill – Red Diesel Usage

Red Diesel – Discussions have been on going with ADA, IDBs and the Treasury. This has now resulted in a change in the interpretation of the exemption clauses. More information has been supplied to ADA to support the IDBs case that they should have the same or similar exemption as the agricultural industry.

Attached at Appendix A is the latest correspondence that has been received from ADA.

### 2.2 Policy

Nothing to Report.

### 2.3 Environment Agency

#### 2.3.1 Humber FRMS 2100+

The latest newsletter from the Strategy Group is attached at Appendix B.

### 3. Financial Report

#### Recommendations:

- To note the information contained in this report
- To approve the schedule of payments
- To approve the Risk Register
- To approve the Estimates for the Year Ending 31 March 2023

#### 3.1 Rating Report

Details of the Rates and Special Levies issued, and payments received up to and including 20 January 2022: -

	£	£
Balance Brought forward as at: 1 April 2021		33.81
<b>2021/2022 Drainage Rates and Special Levies</b>		
Drainage Rates		5,397.77
Special Levies – East Riding of Yorkshire Council	257,414.00	257,414.00
<b>Total Drainage Rates Due</b>		<b><u>262,845.58</u></b>
<b>Less Paid: -</b>		
Drainage Rates		5,351.32
Special Levies – East Riding of Yorkshire Council	257,414.00	257,414.00
<b>Total Drainage Rates Paid</b>		<b><u>262,765.32</u></b>
<b>Balance Outstanding as at: 20 January 2022</b>		<b><u>80.26</u></b>

## 3.2 List of Payments

Payments made since those reported at the previous meeting:

DATE		REF	PAYEE	DESCRIPTION	TOTAL	
					PAYMENT	
2021					£	
Oct	4th	32	Public Works Loan Board	Loan Repayment	3,687.02	*
		-	Lloyds Bank plc	Lloyds Commercial Fees	51.20	*
	14th	36	Vodafone	Telemetry Lines	50.00	*
	19th	-	Lloyds Bank plc	Bank Fees	2.15	*
	20th	46	Woldmarsh Producers Ltd	Supply to Downes Ground PS	277.75	*
				Supply to Hook Clough PS	44.87	*
				Supply to Orchard Cottage PS	171.69	*
				Supply to Potter Grange PS	71.52	*
				Certas Energy UK - Grease	169.20	*
	29th	37-8	JBA Consulting	Fee Accounts: -		
				BAP Implementation - September 2021	1,248.00	
				Management Services - September 2021	9,060.80	
		40	LAW Electrical (Selby) Ltd	Pumping Station Maintenance	446.16	
		35	PKF Littlejohn LLP	External Audit Fee 2020/21	720.00	
		41	Sweeting Brothers Ltd	Maintenance	9,642.22	
Nov	2nd	-	Lloyds Bank plc	Lloyds Commercial Fees	50.90	*
	15th	45	Vodafone	Telemetry Lines	50.00	*
	19th	-	Lloyds Bank plc	Bank Fees	1.69	*
	22nd	47	Woldmarsh Producers Ltd	Supply to Potter Grange PS	64.56	*
				Supply to Hook Clough PS	39.77	*
				Supply to Orchard Cottage PS	137.96	*
				Supply to Downes Ground PS	383.78	*
				Certas Energy UK - Grease	151.20	*
				Membership Fees	51.31	*
	24th	42	East Riding of Yorkshire Council	Hook Clough PS - Graffiti Removal	336.00	*
		44	LAW Electrical (Selby) Ltd	Pumping Station Maintenance	263.64	*
		48	Sweeting Brothers Ltd	Maintenance	1,209.60	*
Dec	3rd	-	Lloyds Bank plc	Lloyds Commercial Fees	51.20	*
	15th	51	Vodafone	Telemetry Lines	50.00	*
	17th	52	Environment Agency	Flood Defence Levy	34,429.75	
		50	JBA Consulting	Management Fees - October 2021	3,800.00	
	20th	55	Woldmarsh Producers Ltd	Supply to Potter Grange PS	54.35	*
				Supply to Hook Clough PS	32.55	*
				Supply to Downes Ground PS	85.37	*
				Supply to Downes Ground PS	376.87	*
				Supply to Potter Grange PS	118.07	*
				Supply to Hook Clough PS	111.94	*
		-	Lloyds Bank plc	Bank Fees	1.75	*
	23rd	56-7	ID Spares & Services Ltd	Pumping Station Maintenance	1,745.00	*
		58-9	Oriel Systems Ltd	Telemetry Maintenance Contract, etc.	3,922.80	*
		54	Schofield Sweeney LLP	Legal Fees - Rate Demands	18.00	*
		49	The Courtyard	Meeting Expenses	120.85	*
			<b>Total Amount of all Payments</b>		<b>73,301.49</b>	
			<b>*Total Amount of Direct Debits &amp; Cheques Approved by the Clerk Only</b>		<b>13,954.56</b>	

### **3.3 Audit**

#### **3.3.1 Internal Audit**

The meeting of the Audit Review Panel was held on 22 November 2021 and the minutes of the meeting can be viewed at Appendix C.

#### **3.3.2 External Audit**

Nothing to report.

#### **3.3.3 Risk Register**

The risk register is attached at Appendix D and requires the Board's approval.

### 3.4 Estimate, Rates & Special Levies for the Year Ending 31 March 2023

<b>GOOLE AND AIRMYN INTERNAL DRAINAGE BOARD</b>							
<b>ESTIMATES FOR THE YEAR ENDING 31ST MARCH 2023</b>							
<b>2021/22</b>				<b>2022/23</b>			
<b>Approved Estimate</b>		<b>Estimated Out-Turn</b>				<b>Estimate</b>	
£	£	£	£			£	£
<b>INCOME</b>							
<b>Drainage Rates on District 1:-</b>							
196		196		4.9p in £ on Av of £4,004		196	
<b>Drainage Rates on District 2:-</b>							
5,202		5,202		4.9p in £ on Av of £106,155		5,202	
<b>Special Levies</b>							
<b>East Riding of Yorkshire - District 1</b>							
244,097		244,097		4.9p in £ on Av of £4,981,575		244,097	
<b>East Riding of Yorkshire - District 2</b>							
13,317		13,317		4.9p in £ on Av of £271,778		13,317	
<b>Other Income:-</b>							
20,000		23,456		Department of Transport		20,000	
<u>250</u>	283,062	<u>215</u>	286,483	Other Income/Interest etc		<u>250</u>	283,062
<b>EXPENDITURE</b>							
70,926		68,890		Environment Agency Precept		70,926	
7,374		7,374		Board Loans		7,374	
<b>Administration:-</b>							
36,816		35,744		Management Fees		37,736	
17,500		15,730		Other Administration Expenses		19,745	
<b>Works Maintenance:-</b>							
25,000		25,000		Drain Maintenance (Contract)		25,750	
3,500		3,250		Ecology, Biodiversity etc		3,500	
<u>46,324</u>	<u>207,440</u>	<u>44,289</u>	<u>200,277</u>	Pumping Stations		<u>44,975</u>	<u>210,006</u>
	75,622		86,206	Surplus - (Deficit)			73,056
	<u>263,740</u>		<u>273,890</u>	Balance Brought Forward			<u>285,096</u>
	339,362		360,096	Balance			358,152
	<u>75,000</u>		<u>75,000</u>	Transfer to Capital Reserve Account			<u>75,000</u>
	<u>264,362</u>		<u>285,096</u>	<b>Balance Carried Forward</b>			<u>283,152</u>
<b>Previous Years Rates in the £ - 2015/16 : 4.9p - 2016/17 : 4.8p - 2017/18 : 4.8p - 2018/19 : 4.9p - 2019/20 : 4.9p</b>							
<b>2019/20 : 4.9p - 2020/21 : 4.9p 2021/22 : 4.9p - 2022/23 : 4.9p</b>							
<b>Penny Rate : £53,635</b>							

CAPITAL RESERVE ACCOUNT							
2021/22				2022/22			
Approved		Estimated		3		Estimate	
Estimate		Out-Turn					
£	£	£	£	£		£	£
				<b>INCOME</b>			
0		0		FGIA Grant	30,000		
<u>100</u>	100	<u>50</u>	50	Other Income/Interest etc	<u>50</u>	30,050	
				<b>EXPENDITURE</b>			
0		2,976		Catchment Modelling	0		
<u>20,000</u>	<u>20,000</u>	<u>0</u>	<u>2,976</u>	Pumping Station Asset inspections	<u>150,000</u>	<u>150,000</u>	
	(19,900)		(2,926)	Surplus - (Deficit)		(119,950)	
	<u>190,226</u>		<u>187,054</u>	Balance Brought Forward		<u>259,128</u>	
	170,326		184,128	Balance		139,178	
	<u>75,000</u>		<u>75,000</u>	Transfer from Revenue Account		<u>75,000</u>	
	<u>245,326</u>		<u>259,128</u>	Balance Carried Forward		<u>214,178</u>	

### 3.5 Five-year Budget Estimate

[illegible]

### 3.6 Electricity Consumption Analysis

The financial officer will take members through a breakdown of electrical consumption and previous year comparisons with a short presentation at the meeting.

## 4. Engineer's Report

### Recommendations:

- Note the information contained in this report

### 4.1 Asset Management

#### 4.1.1 Asset Management

##### Telemetry Provision – Oriel Systems

Board Officers were dissatisfied with the DRAFT contract proposal from Oriel Systems. To resolve the current situation, it was concluded that the Board would have a 12-month extension on the existing agreement. This would enable Oriel Systems and the Board to review a revised proposal without a loss in service provision.

#### 4.1.2 Pumping Stations

##### Downes Ground Pumping Station

The weed screen cleaner has now been repaired by I D Spares. Various light fittings have been repaired or replaced by LAW Electrical.

##### Hook Clough Pumping Station

The weed screen debris has been removed; a schedule has been implemented to remove the debris from the site moving forward. A screen will be erected in conjunction with the existing fencing, currently there is a long lead time for the proposed materials Board Officers continue to explore the various options.

##### Southfield Lane Pumping Station

Control panel and inspection light fittings have been repaired or replaced by LAW electrical.

##### New Potter Pumping Station

Nothing to report.

##### Orchard Cottage Pumping Station

There is still an underlying problem with the telemetry communicating when an alarm is raised, this has been raised with Oriel Systems who will attend site to resolve the matter.

LAW Electrical continue to support the Board with pumping station maintenance and inspections when required. The annual electrical test will be undertaken at each of the sites in the coming months

#### 4.1.3 Hydraulic Modelling

JBA Consulting gave a presentation to the Board in relation to the hydraulic modelling study.

East Riding Yorkshire Council (ERYC) presented also; the Environment Agency (EA) was also in attendance.

### 4.2 Maintenance

#### 4.2.1 Ordinary Watercourses

The seasons scheduled watercourse maintenance works has been completed for this year.

#### **4.2.2 Planning Applications**

Between 23 October 2021 and 24 January 2022, the Board Officers have reviewed planning applications which may affect the Board and commented on 1 of these.

#### **4.2.3 Land Drainage Act 1991 Section 23 and 66 (20<sup>th</sup> Byelaws) Consents**

1 consent application has been granted between 23 October 2021 and 24 January 2022. One more has been received but is on hold, awaiting further information from the applicant. One more application has been on hold for some time now and it is likely to soon be treated as withdrawn if nothing further is received from the applicant.

#### **4.2.4 LDA 1991, Section 23 breach**

Nothing to report.

### **4.3 Environment Agency**

#### **4.3.1 NaFRA2**

Nothing to Report.

#### **4.3.2 Benefit Apportionment Appraisal.**

Board Officers were invited to attend a meeting in relation to the Boards pumping station refurbishment proposal. The EA are reviewing the Goole catchment currently and are seeking a partnership approach. The EA have encouraged the Board to ensure that all opportunities are explored with possible pumping station demaintenance and should extra scenario option testing be required then this should be investigated. It was discussed that the initial options testing resulted in greater flood risk with the Board catchment.

## 5. Environmental Report

**Recommendation:**

- Note the information contained in this report

### 5.1 Legislation

Nothing to report.

### 5.2 Policy

#### 5.2.1 Partnership Meeting

The Clerk of Airmyn Parish Council has been in contact with the Board's Environment Officer, requesting that an officer attends the next meeting of the council on 9 February, commencing at 7pm. They would like to discuss the Parish River Bank and other drainage issues. The Clerk has been informed that the River Bank comes under the remit of the Environment Agency and not the Board. A list of the specific drainage queries has been requested in order to provide a full response at the meeting.

If the queries are ones to which answers have been given over several years, there would be no point to IDB representation, however any new and substantially different questions are welcomed.

## 6. Health and Safety Report

### Recommendations:

- To note the information contained in the report

### 6.1 Accident, Incidents and near misses

There are no accidents or incidents to reported since the last meeting.

### 6.2 Contractor Management

Nothing to report.

### 6.3 Risk Assessment

There have been no requirements to review or create new risk assessments since the last meeting.

### 6.4 COVID-19

The situation is being monitored carefully to enable the Board's employee to carry out his duties safely and in accordance with Government guidelines.

#### Board operations

During this period, the Board's day-to-day activities have not been adversely affected.

## 7. Representation

Officers represent the Board in several fora:

Environmental	Flood Risk Management	Other
Humber Estuary Relevant Authorities Group	Humber Flood Risk Management Steering Group	
Humber Nature Forum	Comprehensive Review Humber FRMS working group	

## 8. Date of Next Meetings

21 June 2022

8 November 2022

## **9. APPENDIX A – Correspondence from ADA on Red Diesel**

Please see the correspondence over the following pages.

By email to all IDB Clerks/CEOs

Thursday 06 January 2022

Dear Clerks & CEOs,

## Red diesel: January 2022 Update

### Background

Following the government's announcement in March 2020 that it will remove the entitlement to use rebated fuel (red diesel) from most sectors from April 2022, ADA has remained concerned about the significantly increased fuel costs for IDBs as a result of moving their lowland watercourse maintenance operations to white diesel. ADA was also concerned about IDBs' continued access to suitably skilled local contractors who predominantly serve the agricultural market for which their plant machinery will still be entitled to run on rebated fuel.

Throughout 2021 ADA has been corresponding with HM Treasury and Defra on this matter and the timeline attached summarises the key dates so far. ADA has continued to argue that IDBs and their contractors should continue to have an entitlement to use rebated fuel after 1 April 2022, and that land drainage watercourse maintenance and flood defence maintenance operations as 'allowed uses' to enable the contractors and direct workforce of IDBs and other risk management authorities to continue to operate using rebated fuel after 1 April 2022.

### HM Treasury clarification

On 17 December ADA received a response from an HM Treasury official providing clarification regarding the circumstances in which IDBs and their contractors may continue to use rebated fuel after 1 April 2022. It is provided as written below in full.

*I thought it would be helpful to clarify the circumstances where it will remain possible to use red diesel for water level management activities, as set out in guidance here*

*(<https://www.gov.uk/government/publications/changes-to-rebated-fuels-entitlement-from-1-april-2022/check-when-rebated-fuel-can-be-used>):*

- Anyone will be able to use rebated fuel in vehicles and machines used for purposes relating to agriculture, horticulture, fish farming or forestry. This includes agricultural vehicles, special vehicles, unlicensed vehicles and certain machines and appliances. For these purposes, you can use rebated fuel to travel to and from the place where the vehicle is used, except on roads in unlicensed vehicles. If a vehicle or machine allowed to use rebated fuel is transported by another vehicle, you can only use rebated fuel in the vehicle carrying or towing it if it also qualifies in its own right.*

***This means that both IDBs using their direct workforce and contractors will be able to use red diesel in their vehicles/machinery to complete water level and flood risk management work on land used for agriculture (working under the expectation that such activity on this land will at least in part be for the benefit of agricultural activity).*** As set out in your letter, nearly 70% of land at the highest risk of flooding is in agricultural use, so for a large proportion of your work, IDBs and agricultural contractors will be able to continue using red diesel. It will, however, not be possible to use rebated fuel for water level and flood risk management work on any other land (other than golf courses), unless it is for purposes relating to agriculture.

ADA welcomes this clarification from HM Treasury, which aligns with the interpretation that some IDB staff had previously expressed regarding IDBs using rebated fuel for watercourse maintenance activities on agricultural land.

### **Pumping stations**

Whilst ADA's understanding was that from 1 April 2022 diesel pumps would have to be powered using white diesel, the most recent clarification from HM Treasury may serve to change that position, where the pumping is of benefit to agricultural land. Certainty remains that rebated fuel may continue to be used after 1 April 2022 to generate electricity that is then used to power the pumps. This exception is because the Finance Bill 2021 states that using rebated fuel for heating and electricity generation in non-commercial premises would be a 'qualifying purpose'.

ADA will continue to persuade government that an accelerated programme of asset replacement would help all risk management authorities upgrade their pumps to the latest carbon reduced and fish friendly electric versions.

### **Next steps for ADA**

ADA still wishes to better understand the circumstances where IDBs may or may not be permitted to use rebated fuels when undertaking work/pumping on non-agricultural land where those IDB operations benefit surrounding agricultural land.

The current wording of Excise Notice 75: Fuels for use in vehicles (<https://www.gov.uk/guidance/fuels-for-use-in-vehicles-excise-notice-75>) states that:

#### ***Ditch clearing and drainage***

*You can use rebated fuel for ditch clearing and drainage only if it is done **solely** for the benefit of land used for agriculture, horticulture or forestry.*

However, HMRC has stated that Excise Notice 75 will be updated in advance of 1 April 2022.

Therefore, ADA proposes to seek:

- further clarification from the government regarding future wording within Excise Notice 75 and government guidance on the changes to rebated fuels entitlement from 1 April 2022, in line with HM Treasury's most recent clarification to us.
- legal advice regarding the circumstances in which rebated fuel may continue to be used on non-agricultural land where those operations benefit surrounding agricultural land.
- legal advice regarding the use of rebated fuel in pumping stations that benefit agricultural land.

These proposals will be discussed at the ADA Policy & Finance Committee meeting on 19 January 2022.

Yours faithfully



Ian Moodie MSci, Technical Manager, ADA

## Timeline of changes to rebated fuels entitlement

11 March 2020 | Budget 2020, the government announced that it will remove the entitlement to use red diesel from most sectors from April 2022.

9 July 2020 | HM Treasury launched public consultation seeks views on reforms to the tax treatment of red diesel and other rebated fuels. ADA was unaware of this consultation at the time and did not respond.

11 January 2021 | ADA submitted an urgent report on the use of red diesel by internal drainage boards to HM Treasury and Defra.

11 March 2021 | Finance Bill 2021 published with provisions for changes to rebated fuels entitlement.

12 March 2021 | ADA shared a pro forma letter for IDBs to send to MPs regarding red diesel entitlement.

23 March 2021 | ADA submitted an updated version of the urgent report to HM Treasury and Defra.

10 June 2021 | Finance Act 2021 received royal assent.

21 June 2021 | ADA met with HM Treasury civil servant leading on these fuel duty changes to discuss the sector's concerns. The outcome of this meeting was a specific request from HM Treasury for ADA to provide further quantitative information about IDBs' use of agricultural contractors.

9 July 2021 | ADA wrote to IDBs providing an update on meeting with HM Treasury and making a request for data regarding IDBs' use of agricultural contractors undertaken by ADA. 61 IDBs responded to this data request over the summer of 2021.

6 October 2021 | ADA wrote to HM Treasury describing IDB operational maintenance in greater detail and presenting results of the IDB contractors survey undertaken. The letter concluded with two alternative policy requests seeking:

- a. to define land drainage watercourse maintenance and flood defence maintenance operations as 'allowed uses', and/or
- b. for land drainage watercourse maintenance to be defined as an 'accepted purpose' in relation to agriculture, such as within *Excise Notice 75: Fuels for use in vehicles*.

15 October 2021 | ADA receives interim guidance on changes to rebated fuels entitlement from 1 April 2022 from HMRC.

11 November 2021 | Interim guidance on changes to rebated fuels entitlement from 1 April 2022 published on gov.uk website (<https://www.gov.uk/government/publications/changes-to-rebated-fuels-entitlement-from-1-april-2022>).

30 November 2021 | HM Treasury responded to ADA's letter thanking ADA for the data provided. It did not address the questions and policy requests made in ADA's letter. HM Treasury directed ADA to discuss the implications that we set out with Defra colleagues. It should be noted that ADA was originally encouraged to discuss the matter directly with HM Treasury by Defra officials.

17 December 2021 | HM Treasury writes again to ADA clarifying that *'IDBs using their direct workforce and contractors will be able to use red diesel in their vehicles/machinery to complete water level and flood risk*

*management work on land used for agriculture (working under the expectation that such activity on this land will at least in part be for the benefit of agricultural activity).'*

7 January 2022 | ADA writes to IDBs regarding the clarification provided by HM Treasury, and outlining next steps ADA proposes to take.

## **10. APPENDIX B – Humber Flood Risk Management Strategy – Humber 2100+**

The latest newsletter from the Strategy Group can be found over the following pages.



# Humber Strategy - Stakeholder Newsletter

December 2021

This newsletter is given to the Parishes and Wards in the strategy area, our Partnership and other interested parties. It provides an update on work we are doing to develop a new strategy for managing tidal flood risk on and around the Humber, and also lets you know about some key flood schemes/projects going on around the estuary. If you wish to receive this newsletter please contact us at [HStrategy@environment-agency.gov.uk](mailto:HStrategy@environment-agency.gov.uk)

## Humber 2100+ Strategy

Climate change is the biggest threat we face on the Humber. If unmanaged, sea level rise and extreme weather could have catastrophic consequences for local communities, the environment, the economy and key infrastructure. The **Humber 2100+ Partnership** is made up of 12 local authorities and the Environment Agency supported by the IDBs and Natural England. The aims of the strategy are to redefine the strategic approach to managing tidal risk on the Humber, setting the way forward for the next 100 years taking into account predicted sea level rise and climate change. This also includes rivers where tidal flow is the main source of flood risk. For more information please visit our [StoryMap](https://arcg.is/u1rPi) on the Humber 2100+ strategy <https://arcg.is/u1rPi>



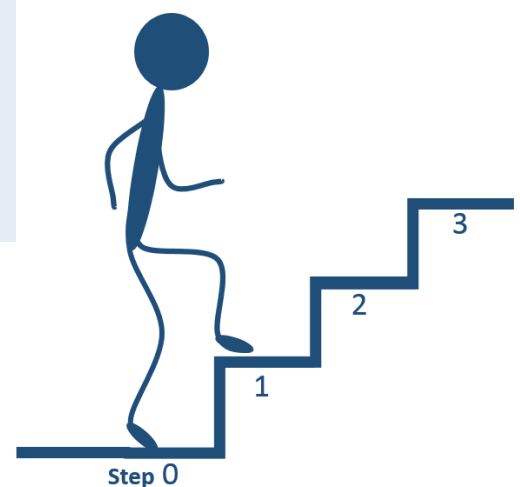
## Strategy Update

### Key Messages

In response to feedback from the Partnership a stepped approach has been adopted to further strategy development. In the next step, which we intend to begin in the New Year, the Partnership will refocus/revisit our evidence around the nature of tidal risk and the consequences of that risk, to ensure we have a common foundation from which to move forward. Once we have that we will progress to defining potential ways to manage that risk.

To navigate the way forward, the next steps for the project have been refreshed, with specific focus on the following areas:

- Working as a partnership to ensure there is a common understanding and acceptance of the scale and impacts of flood risk, both now and in the future, and the implications of how that risk is managed.



- The way that tidal flood risk is currently managed cannot continue indefinitely without having consequences further inland and the scale of risk indicates that we will need to do things differently in the future. The Humber 2100+ partnership will work together to build a consensus on this, working towards an agreement on the way tidal flooding will need to be managed strategically to meet future flood risk challenges.

Some aspects of our technical work are progressing at a different time than originally planned in the development of the Humber strategy. At the appropriate point, the partnership will be seeking to work with those that live, work and have an interest in the Humber, to shape the future approach.

*"We are, after all, the greatest problem solvers to have ever existed on Earth. If working apart, we are a force powerful enough to destabilize our planet. Surely working together, we are powerful enough to save it."*

*Sir David Attenborough – Opening ceremony of the UN climate change Conference COP26, Glasgow, Scotland, 01-Nov-2021*

## Scheme Updates

### Outstrays to Skeffling Managed Realignment

#### Background

The **Outstrays to Skeffling Managed Realignment Scheme (OtSMRS)** is a joint venture by the Environment Agency and Associated British Ports (ABP) to realign existing flood embankments and create approximately 175 hectares of new mudflat and saltmarsh habitats on the north bank of the Humber estuary, near Skeffling. The scheme will also include the creation of 75 hectares of wet grassland habitat. It will provide the required compensatory habitat to replace habitat losses at designated sites from sea level rise, and for direct losses resulting from development. It will also enable the Environment Agency to continue to undertake important flood risk management work.

Contractors are concluding their work on site, in preparation for the main phase of construction starting next spring. Ground investigations and archaeological surveys are almost finished on the eastern side, in the expanse of land between Welwick and Skeffling. Any remaining crops on the rest of the site will be harvested and the fields cleared in preparation for next year's work.

Work in the wet grassland area, next to Winestead Drain, has been progressing well. The site was cleared of vegetation and work has started to create the special habitat areas and future access routes. Material taken from these areas is also being stockpiled and stored over winter for construction of the new flood banks next year.

From June to August, York Archaeological Trust carried out archaeological surveys for the scheme. At the far eastern end of the site, pottery fragments suggest that the ditches and other features found there are of medieval origins. Excavations in the centre of the site also unearthed fragments of Roman pottery, pieces of building material and lots of oyster shells.



*Excavations being carried out near Welwick*

## Humber Hull Frontages (HHF) Flood Defence Improvements

### Background

The **Humber Hull Frontage (HHF) Flood Defence Improvements** reduces tidal flood risk to 113,000 properties. The scheme has upgraded 7km of flood defences along the edge of the Humber Estuary and provides a 1:200 Standard of Protection to anticipated 2040 sea levels.

A large section of the footpath was re-opened at Victoria Dock Village East at the beginning of July 2021. The footpath is part of the Trans Pennine Trail and was re-opened following reinstatement work to this area on the Humber: Hull Frontage (HHF) scheme. The new flood defence wall has been finished with brick cladding. New flood gates and glass areas have been incorporated into the new flood defence at some locations to maintain views and access.

Landscaping work is continuing across the scheme to enable the remaining public spaces to be re-opened. Work is ongoing behind the new flood defence in Nelson Street. A new promenade has been built on the landward side of the flood defence wall and the area is being resurfaced with new paving stones. Access ramps, steps and seating areas have also been created. The area will be planted with trees and shrubbery as part of the landscaping.



*Humber Hull Frontages at Victoria Dock*

## Hessle Foreshore Tidal Defences

### Background

The **Hessle Foreshore Tidal Defence project (HFTD)** will construct a combination of defences to reduce the risk of tidal flooding to the Hessle area. It will reduce tidal flood risk to over 4000 residential and commercial properties when complete. It is funded by a combination of FCERM Grant in Aid, Local Growth Funding and European Regional Development Funding.

The work has been divided into three operational stages of which stage one, Hessle Clough Sluice Structure, and stage two, A63 Barrier are complete. Stage 3 - Cliff Road works on Stage 3 are nearing completion. Stage 3 involves raising of the road under the Humber Bridge and the construction of a glass and concrete wall along the southern side of Cliff Road. Full completion of the scheme is anticipated shortly.



*Stage 3 Wall progress showing installed glass panels*

## South Ferriby and Winteringham Ings Sea Defence Improvements

### Background

The **South Ferriby** flood alleviation scheme reduces the risk of tidal flooding to 150 homes, businesses and the Cemex cement plant. It is a partnership scheme between the Environment Agency, North Lincolnshire Council and Cemex.



*Aerial photograph of the Defence Improvements*

An official opening event was held on 22<sup>nd</sup> October 2021, an outdoor event hosted by Norman Robinson with a marquee at the South Ferriby Depot where speeches were able to be held. Sir James Bevan, Councillor Rob Waltham, among others attended the event in celebration of the successful completion of the South Ferriby sea defences including 2.7km of defences and a flood gate on the A1077.

A Property Flood Resilience consultation has started in **Winteringham**, for properties at risk of tidal flooding. Initial property surveys have been completed and a report produced with recommendations for appropriate property flood resilience measures. A procurement process is ongoing to obtain the services of an installation contractor.

## Donna Nook

### Background

**Donna Nook** is a managed realignment and has opened up 106ha of land to intertidal habitat. The project reduces the risk of flooding to 1200 properties communities, businesses and 13,000 ha agricultural land in the area and has helped reproduce valuable intertidal habitats to compensate for losses in the Humber Estuary.

The Environment Agency has removed the remaining 9 piles from the breach site which is now complete. Work on the road widening scheme has progressed well over the last few months and is now largely complete.

Discussions are underway with Natural England on how best to return Little Tern Islands site to target condition. The data collected this year provides the first opportunity to directly compare the changes against the 2018 pre-breach data. Recently, soil samples have been collected to analyse saltmarsh carbon. Monitoring is required over an extensive period whilst the site finds its natural equilibrium.



*Piles being removed*

## Research on the Humber

### Water for Farmers and Wildlife



The Royal Society for the Protection of Birds (RSPB) and the Environment Agency are working in partnership to deliver field-scale trials of temporary wetlands on farmland near Yokefleet. The findings of the **Water for Farmers and Wildlife** study will support the development of future flood risk adaptation and land management approaches, helping to improve the resilience of communities and ecosystems to flooding and environmental change.

Temporary wetlands are large areas of arable land that are flooded to a shallow level for one to four years. Water is pumped onto the land and then retained by small soil bunds. It creates wetland habitat beneficial for migratory birds and is shown to have financial benefits for farmers by: improving soil fertility, eradicating pests, reducing farming inputs (e.g. pesticides and fertilisers), improving crop yield, better land management following a flood. This technique has been tested and applied in the United States of America and the Netherlands with success.

Temporary wetlands are currently being trialled on two sites near Yokefleet. Both sites have now been flooded in a controlled way by pumping water from the River Ouse. Bunds have been constructed around the trial sites to ensure water is contained within the designated areas. In addition two sites have been constructed on the River Idle catchment, of which one has been flooded, and a further two are planned for construction. The trials will run until mid-2022 at which point the field will be returned to arable farmland.

For further information about the Water for Farmers and Wildlife study, please get in touch with the RSPB project manager Sarah Pulein ([Sarah.Pulein@rspb.org.uk](mailto:Sarah.Pulein@rspb.org.uk)) or the Environment Agency's Humber Strategy team ([HStrategy@environment-agency.gov.uk](mailto:HStrategy@environment-agency.gov.uk)).

### Working in Partnership



### Environment Agency Flood Action Campaign 22<sup>nd</sup> – 28<sup>th</sup> November

Flooding can come in many forms, such as; tidal, river, surface water, pluvial (groundwater & flash) or grey water flooding. There are 5.2 million homes and businesses at risk of flooding in England alone.

**Do you know if you are at risk?** With instances of extreme weather increasing, and sea levels rising its best to be prepared. Sign up to the Environment Agency's flood warning service to keep you updated on the latest information in your local area [Flood warnings in England - GOV.UK](https://www.gov.uk/flood-warnings) ([check-for-flooding.service.gov.uk](https://check-for-flooding.service.gov.uk)).



customer service line  
03708 506 506

incident hotline  
0800 80 70 60

floodline  
0345 988 1188  
0845 988 1188

[www.gov.uk/environment-agency](https://www.gov.uk/environment-agency)

**Would you know what to do in a flood?** Knowing just **one** action to take can reduce the effects on your home and family, and even save a life.

This week, make it your aim to know what to do in a flood!



**FLOOD  
ALERT**

**PREPARE**

- Pack medicines, insurance documents
- Visit the flood Warning Service



**FLOOD  
WARNING**

**ACT**

- Move family, pets & belongings to safety
- Turn off gas, water & electricity



**SEVERE  
FLOOD  
WARNING**

**SURVIVE**

- Immediate danger? Call 999
- Follow emergency services' advice

## How resilient are you?

### Are you prepared for future floods?

Although defences reduce the likelihood of flooding, the risk can never be removed entirely. Flooding can happen at any time and from a variety of sources. To begin to be more resilient take some simple practical steps to help reduce the impact of flooding to your home or business.

To find out if you are at risk, how to prepare, stay safe and sign up (free) for flood warnings visit [www.gov.uk/flood](http://www.gov.uk/flood) or call Floodline on **0345 988 1188**.



### Contact us



<https://consult.environment-agency.gov.uk/humber/strategyreview>



@Humber\_Strategy



[HStrategy@environment-agency.gov.uk](mailto:HStrategy@environment-agency.gov.uk)



@HumberStrategy



customer service line  
03708 506 506

incident hotline  
0800 80 70 60

floodline  
0345 988 1188  
0845 988 1188

[www.gov.uk/environment-agency](http://www.gov.uk/environment-agency)

## 11. APPENDIX C – Minutes of the Audit Review Meeting

Held online, Microsoft Teams  
Monday, 22 November 2021

### Present:

Mrs Rita Brough (RB)	Goole & Airmyn IDB
Mr Christopher Day (CD)	Ancholme IDB
Cllr Ray Sutherland (RS)	Sow & Penk IDB
Mr Andy Cane (AC)	Brodericks GBC

### In Attendance on behalf of JBA Consulting:

Mr Mark Joynes (MJ)	Financial Officer to the Shire Group of IDBs
Mr David Blake (DB)	Financial Officer to the Shire Group of IDBs

### Introductions and Apologies for Absence

MJ welcomed the members and all attendees briefly introduced themselves. Apologies for absence were received from Mr Michael Dougherty, Mr Ralph Guy and Mrs Elissa Swinglehurst.

### Minutes of the Last Meeting / Matters Arising

The panel approved the minutes as a true and fair record with no matters arising.

### Risk Register

DB discussed risk management policies and how they assist the Boards meeting their aims and objectives. He took the panel through the outline Risk Register and the associated grading system. He explained how the draft registers, fully expanded, would be reviewed by the management team and issued to the Boards for the January/February 2022 meetings.

RS pointed out the text in white could be a little difficult to read. DB said this would be corrected by the time the full Registers were issued.

As an example of risk grading DB explained that with assets such as pumping stations, as the assets age the risk of failure increases and risks can be adjusted accordingly along with any mitigation. As an example of mitigation, DB pointed out Ancholme IDB now have a MEICA engineer in their direct employment.

DB also discussed the ongoing issue all IDBs are facing in relation to red diesel costs and the likelihood of contractors' costs increasing significantly. This may result in some authorities exceeding their budgeted costs, or perhaps reducing their maintenance plans. AC asked if this issue could impact on the reserve levels held by the Boards. DB reiterated it possibly could, unless the Boards were to reduce their maintenance plans or perhaps increase their rates.

### Internal Auditor's Report

The internal auditor reviewed the work undertaken on the 2020/21 accounts. In general, he was satisfied with how things were run and said there were no major concerns. He pointed out that little Internal Audit work could be done on Earby & Salterforth IDB, but a true audit would be done for the year ending 31 March 2022.

He said the Boards' control procedures were very good and little could be done to improve them. Also, he expressed the view that Risk Registers underpin the internal control environment. The panel then discussed the following points:

#### Supplier Bank Details and Associated Fraud Risk

CD said the biggest risk is where a change of supplier bank details occurs and enquired how many requests to change details the clerks received each year. MJ said every request was logged in a sheet and agreed it was an area very susceptible to fraud. Also, he said that invoices from new suppliers needed to be treated with care. The officers always gather as much information as possible from the supplier and then make their decision accordingly. Also, they would take into account the cash value of any proposed payment. He drew the panel's attention to the process set out in the meeting papers. AC confirmed the Internal Auditors carried out

extensive checks on the activity in the year and that this issue has been raised every year. MJ explained they would still pay by cheque if they could not be satisfied any payment details given met the required standards and talked the panel through one such instance of this.

#### Decision Making & Member Attendance

The panel briefly discussed this issue. AC said that this issue is discussed every year. He said attendance tends to fluctuate and enquired if the holding of virtual meetings had made a difference. MJ said it had, and that now Boards had updated their Standing Orders, virtual meetings and hybrid meetings may become more common. He also said he hoped that more virtual meetings would improve member attendance, reduce travel costs and reduce emissions. DB said no major increase in member attendance had been noted yet. RS said it made total sense for Sow & Penk IDB to hold virtual meetings, simply because of the distance between clerks & officers. CD suggested hybrid meetings would be possible. MJ said it depended on the venue, broadband & wifi availability. DB said a hybrid meeting had previously worked but with some issues. CD said he had attended meetings where a Meeting Owl had been used and it worked effectively.

DB said the attendance of elected members was generally very good but less so with nominated members. MJ said it members cannot be forced to attend and that we could only continue to pester the local authorities to encourage attendance. DB agreed. RB said Goole & Airmyn IDB had been accommodating, changing meeting dates to allow ERYC members to attend but they still don't attend. RS said he was in regular consultations with councillors and did what he could to encourage member attendance and would speak to the authorities if they continually failed to attend.

#### Cybercrime

CD discussed the prevalence of cybercrime generally. He asked what controls were in place to ensure the Clerk's office had the most up-to-date antivirus software. MJ said all JBA machines, including those used for remote working, must have up-to-date antivirus software or they will simply not function on the network. He said JBA are very robust in these issues. Also, not all JBA staff members can access all drives; access is granted on a needs basis. CD said this gave him some reassurance.

#### **External Auditor's Report**

The AGAR Section 3s were reviewed by the panel and more specifically the External Auditors' comments. The panel was pleased to note there were no matters arising giving cause for concern. MJ also discussed three reports where 'Other Matters' were raised by the external auditors, described below.

#### Incorrect Figure from Previous Year

The previous years' figures on the Ancholme IDB accounts had an incorrectly transcribed figure. This had been corrected and the necessary signatures obtained.

#### Netting-off

MJ informed the panel that the external auditor had commented negatively about how a receipt in regard of an insurance claim had been treated in the Goole Fields DDB accounts. They had in fact objected that the Board had not netted off the figures. MJ said this has caused a certain amount of astonishment at the Clerk's office. AC said 'his mind boggled' they had taken this position, and that if this was the required treatment for insurance claims, should grant-funded and other recoverable costs be treated in the same manner? MJ said the external auditors spent around fifteen years trying to stop authorities netting off income against expenditure, so this was a completely unexpected intervention. He also confirmed the Clerk had contacted the External Auditor to make officers' views plain. However, MJ stressed this was merely an 'other matters' issue and the external audit was now complete. AC said it would be nice to have a response as it may affect future audits.

#### Extension to Period of Electors' Rights

MJ explained to the panel that following the virtual meeting of Sow & Penk IDB, the AGAR, which still required a wet signature, had been lost in the post. By the time this was realised it was too late for a replacement to be arranged in time to meet the statutory inspection period. MJ said the officers had applied for an extension. This was quite satisfactory to the external auditors, but a declaration needs to be made on the 2021/22 governance statement accordingly.

**Any Other Business**

No issues raised.

**Date of Next Meeting and Close of Meeting**

The next meeting of the panel will be held on Monday, 21 November 2022 at 10.00am at the offices of JBA Consulting, Epsom House, Chase Park, Redhouse Interchange, Doncaster, DN6 7FE.

MJ thanked the members for attending. CD thanked AC for all the work done on audits and MJ for the work done in preparing and presenting the meeting papers.

The meeting was closed at approximately 10:50am.

## 12. APPENDIX D – Risk Register

A copy of the draft register can be found over the following pages.

# Goole & Airmyn IDB- Risk Register Jan 2022

OBJECTIVE		RISK	Current Controls/Assurances	Risk			Status	Mitigation/Action Plan	Owner/Target Date		
				Impact	Likelihood	Score					
S U S T A I N A B I L I T Y	Objective 1 : Provide & Maintain Sustainable Flood Protection through Water Level Management	Lack of direction, Conflicting aims & objectives (Internal & External)	Policy statement on Flood Protection and Water Level Management	Med	Unlikely	2	ACCEPT- RISK LEVEL ACCEPTABLE		Next Review 30/06/22		
			The board has an extensive list of policies								
		Asset Failure- Pump, Watercourses, Culverts & Syphons	Adopted an Asset Management strategic approach, detailed Asset conditioning reporting	High	Likely	6	TRANSFER- RISK TOO HIGH, TRANSFER ELSEWHERE IF POSSIBLE (EG. INSURANCE)	Develop current Pump Station Register linked with contingency planning	ENG- Ongoing		
			Contingency Planning-District								
			Suitable Contractors (Qualified Engineers)- lack of staffing & required expertise						Up to date Telemetry system	Review Supplier/Contractor list- Appropriate governance checks. Also linked to contingency planning.	ENG- Jan-Mar 22
									Regular Syphon & Culvert Inspections		
		Human Resource Risk- Contractors	Contractors go through tender process if necessary	High	Unlikely	3	REDUCE- ACTION REQUIRED TO REDUCE RISK	Monitor Suppliers/Review Succession Plans Disaster/Emergency Protocols Online Communication Microsoft Teams	Next Review Dec 2022		
			Timely Contractual performance review- Time & Quality								
			National/International Emergencies							All Legislation and requirements made clear to all contractors	
	Supplier Dependency		Disaster Recovery Plans								
	Objective 2: Promote & Integrate Biodiversity with the boards primary and operational activities	Risk of prosecution for not adhering to Environmental Legislation	Board directly employs a suitably qualified Env. Officer	High	Unlikely	3	REDUCE- ACTION REQUIRED TO REDUCE RISK	Refresher presentation to be given to Operatives & Contractors before start of summer works season. Continue to communicate Environmental best working practices	Env Officer- Apr 22		
			Extensive Environmental Surveys carried out								
			Species reporting on all new watercourses								
		The board does not deliver on the duty to protect and where practicable enhance the environment	The board has a Biodiversity Action Plan	Med	Unlikely	2	ACCEPT- RISK LEVEL ACCEPTABLE	Next Review 30/06/22			
			Produce Environmental Annual Report and Action Plan for the year ahead.								
		Lack of staff training, not provided with the relevant training and information to ensure necessary steps are taken with regard to Diversity	Contractors are advised in environmental matters	High	Unlikely	3	REDUCE- ACTION REQUIRED TO REDUCE RISK	Refresher presentation to be given to Operatives & Contractors before start of summer works season. Continue to communicate Environmental best working practices	Env Officer- Apr 22		
			Training made available (Badgers License etc)								
Develop plans to mitigate the risk of destroying habitat											
I N N O V A T I O N	Objective 3: Embrace new technology/methods introduced into the industry	Financial Limitations	Cost Savings- Payback Calculation	Med	Unlikely	2	ACCEPT- RISK LEVEL ACCEPTABLE		Next Review 30/06/22		
			Research & Development Included in Budget								
			PWLB- Potential to borrow								
		Historical Viewpoint- 'we've always done it this way' can stifle innovation	Long Term Asset Management Strategy Adopted	Med	Unlikely	2	ACCEPT- RISK LEVEL ACCEPTABLE	Next Review 30/06/22			
			Forward Thinking Board								
		Uncertainty- results limited as technology/methods are in early stages of its lifecycle	The Board would always look to trial new technology	Med	Unlikely	2	ACCEPT- RISK LEVEL ACCEPTABLE	Next Review 30/06/22			
			Economies of Scale through Shire Group of IDBs reduces risk to GAIDB								
	Regulation within the industry										
	Objective 4: Promote Innovation, ensure the board is continually looking at ways to improve and grow	Members lack understanding of the Board's objectives, latest legislative requirement and latest developments in the industry.	Land Drainage Act provides for election of members every 3rd Year	High	Unlikely	3	REDUCE- ACTION REQUIRED TO REDUCE RISK		Next Review 30/06/22		
			Training Courses for board members								
			Legislative/Industry developments promoted on the website and in meetings								
		Board Structure- Limited diversity of members background	Elected/Nominated split dependant on Annual Values	Med	Unlikely	2	ACCEPT- RISK LEVEL ACCEPTABLE	Next Review 30/06/22			
			Nominated members typically have knowledge of WLM								
			Elected members must be nominated by Landowners in the district								
		Short Termism- Reduction of cost philosphy	STP/MTP/LTP	Med	Likely	4	REDUCE- ACTION REQUIRED TO REDUCE RISK	1. Jan meeting will incorporate the mid-term & Long-term plan. Highlighting the impact short-term decisions have overall 2. Annual review of the boards WLM and KPI's/Goals & CSF's	FO- Feb 2022 SGAT- Annual		
			Long Term Forecasting, 20 years Budget plans, Long Term Capital plan								
		Unsuitable members appointed to the Board	Elected members must be nominated by Landowners in the district	Med	Unlikely	2	ACCEPT- RISK LEVEL ACCEPTABLE		Next Review 30/06/22		
Members register of interest											

## Goole & Airmyn IDB- Risk Register Jan 2022

OBJECTIVE	RISK	Current Controls/Assurances	Risk			Status	Mitigation/Action Plan	Owner/Target Date
			Impact	Likelihood	Score			
STAKEHOLDER VALUE	Objective 5: To be a self sufficient IDB that provides value to 'our' stakeholders at all times	Reduction in income to the Board to maintain an appropriate provision of services	High	Unlikely	3	REDUCE- ACTION REQUIRED TO REDUCE RISK	Provide all significant ratepayers/creditors with the Long-Term forecast, allowing them to make provisions accordingly.	Senior Finance Officer Mar 22
		Systems and processes to maximise income opportunities and collection						
		Timely invoicing of Drainage Rates account holders annually, monitoring of collection rates and take follow-up action when non-payment.						
		Submit Highland Water claims to the EA.	High	Likely	6	TRANSFER- RISK TOO HIGH, TRANSFER ELSEWHERE IF POSSIBLE (EG. INSURANCE)	The board need to plan how they mitigate this likely increase cost for Drain Maintenance. Options will be provided & discussed at the January meeting.	SGAT Jan 22
		Increased Expenditure, exemption for Red Diesel removed. Fuel costs increase therefore Contractor costs could increase significantly						
		Reserve Policy in Place for this scenario In dialogue and will work with contractors Budget & Contracts allow for flexibility, Variable Cost						
		Overspending, not obtaining value for money	High	Unlikely	3	REDUCE- ACTION REQUIRED TO REDUCE RISK	Cash Flow analysis incorporated into monthly budget review, ensure value for money is sought on every purchase	FO-2022
		Monthly Budget reviews, Budget to date and out turn analysed by members at meetings						
		Financial Regulations- >£5000 2 quotes > £20,000 Tender Process Approved Suppliers List with specific criteria that is regularly monitored						
		Perception that this isn't the case	Med	Likely	4	REDUCE- ACTION REQUIRED TO REDUCE RISK	Promote the board, shout about the good work Black Drain DB DB do Tap into to Social Media to do this	SGAT- Ongoing
		Internal/External Audits & IDB1 forms						
		Website promoting board Activities						
	Objective 6: To be a champion of partnership working, work collaboratively where this can deliver shared research objectives more cost-effectively and for the wider good of all connected	Flood damage to third party	High	Unlikely	3	REDUCE- ACTION REQUIRED TO REDUCE RISK	Insurance policies reviewed annually for the Board and risks required changes to cover reassessed. Potential to Model the district	SFO- Jan 2022 Eng-2022
		Insurance Policies to cover main risks including asset failure and indemnity for third party damage						
		Catchment Mapping completed						
		Miscommunication causing differing expectations/goals	Med	Unlikely	2	ACCEPT- RISK LEVEL ACCEPTABLE	Monitor all relationships with all connected stakeholders, continue to be a champion of partnership working	Next Review 30/06/22
	Objective 7: Ensure that the board always complies with all recommended accounting practices	Close working relationships with all connected stakeholders Historic Agreements Drive for partnership working						
		Lack of formal structure and clear risk-sharing arrangements						
		Good working relationship with LLFA Clear definition of role between IDB & other Stakeholders						
	Objective 8: Ensure that at all times the board complies with all current EU & UK legislation	Internal & External Conflicts, which could create a lack of trust	Med	Unlikely	2	ACCEPT- RISK LEVEL ACCEPTABLE	Monitor all relationships with all connected stakeholders, continue to be a champion of partnership working	Next Review 30/06/22
		Conflict Management Policy Open & Honest- 100% Transparent- Minutes on website						
COMPLIANCE	Objective 7: Ensure that the board always complies with all recommended accounting practices	Adverse audit reports, legal action and loss of confidence in the IDB.	High	Unlikely	3	REDUCE- ACTION REQUIRED TO REDUCE RISK	1. Continue to work with Internal Auditor to always minimise risks associated with accounting practices, especially when new risks emerge 2. Review current SGAT processes & procedures and update where appropriate	Finance Team- May 2022 & Ongoing
		Loss of Cash through error or fraud						
		Bank Mandate in place, always two signorities needed All Purchase Ledger Transactions are reviewed by the board Adequate Insurance to cover such Losses						
		Loss of Control through inadequate processes.	High	Unlikely	3	REDUCE- ACTION REQUIRED TO REDUCE RISK	Review current SGAT processes & procedures and update where appropriate	Finance Team- May 2022 & Ongoing
		Audit approved documented processes, that have a clear segregation of duties						
		Bank Mandate in place, always two signorities needed						
		Liquidity issues, lack of reserves	Med	Unlikely	2	ACCEPT- RISK LEVEL ACCEPTABLE	Review Reserves level (50%) at the monthly budget meetings	Next Review 30/06/22
		The Board has adopted a reserves policy						
		Short, Mid & Long Term Budgetted						
		Boards financial position presented at Board meetings						
	Objective 8: Ensure that at all times the board complies with all current EU & UK legislation	Risk to Board Members	Med	Unlikely	2	ACCEPT- RISK LEVEL ACCEPTABLE	Monitor any changes to current EU & UK legislation	Next Review 30/06/22
		Qualified & experienced staff attempt to advise the board						
		Ultimately, all decisions should be discussed and made as a collective						
		Adequate Insurance underaken	High	Unlikely	3	REDUCE- ACTION REQUIRED TO REDUCE RISK	Monitor any changes to current EU & UK legislation	Next Review 30/06/22
	Objective 9: Ensure that the board always complies with all recommended accounting practices	Access specialist advice as required, eg Finance, Legal, H&S, Insurance, etc.						
		Peer Group support, e.g. ADA's Policy & Finance and Technical Committees						
		Inter-agency working amongst FRM authorities						





# Shire

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